



Astec LifeSciences Ltd.

Dated: 21<sup>st</sup> June, 2017

To,  
**BSE Limited**  
P. J. Towers, Dalal Street, Fort  
Mumbai – 400 001

To,  
**National Stock Exchange of India Limited**  
Exchange Plaza, Bandra - Kurla Complex,  
Bandra (East), Mumbai-400 051

Ref.: BSE Scrip Code No. "533138"

Ref.: "ASTEC"

**Subject: Notice to Shareholders for transfer of Equity Shares to Investor Education and Protection Fund (IEPF)**

Dear Sir(s),

Pursuant to Regulations 30 and 47 read with Part A of Part A of Schedule III to the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Notice sent to the Shareholders dated 20<sup>th</sup> June, 2017 in respect of transfer of Equity Shares of the Company against which the Dividend declared for the Financial Year 2009-10 has remained Unclaimed / Unpaid for 7 (seven) consecutive years to Investor Education Protection Fund (IEPF) alongwith the copy of the Notice published in Business Standard, Mumbai Edition (in English) today, i.e. on 21<sup>st</sup> June, 2017, in terms of Rule 6 of the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 (including any amendment(s), modification(s) or re-enactment(s) thereof).

Further, we would like to inform you that this Notice will be getting published in Mumbai Lakshdeep, Mumbai Edition (Marathi) tomorrow, i.e., on 22<sup>nd</sup> June, 2017, as the same could not get published in today's Mumbai Lakshdeep, Mumbai Edition (Marathi) due to certain unavoidable circumstances.

This intimation is also uploaded on the Company's website, viz., [www.astecls.com](http://www.astecls.com).

Kindly take the above on record.

Thanking you,

Yours sincerely,

For Astec LifeSciences Limited

**Tejal Jariwala**  
**Company Secretary & Compliance Officer**  
**(ACS 32441)**



Encl: A/a



ISO 9001:2008



ISO 14001:2004



OHSAS 18001:2007



**Responsible Care®**  
OUR COMMITMENT TO SUSTAINABILITY

Regd. Office :  
"Godrej One", 3<sup>rd</sup> Floor,  
Pirojshanagar, Eastern Express Highway,  
Vikhroli (East), Mumbai-400079,  
Maharashtra  
Telephone No.: 022- 25188010  
Fax No.: 022-22618289  
Email id: [astecinfo@godrejastec.com](mailto:astecinfo@godrejastec.com)  
Website: [www.astecls.com](http://www.astecls.com)  
CIN : L99999MH1994PLC076236



## ASTEC LIFESCIENCES LIMITED

**Corporate Identity Number (CIN): L99999MH1994PLC076236**

**Registered Office:** "Godrej One", 3<sup>rd</sup> Floor, Pirojshanagar, Eastern Express Highway, Vikhroli (East), Mumbai-400079, Maharashtra  
**Tel.:** +91 22 2519 5768, **Fax:** +91 22 2261 8289, **Website:** www.astecls.com, **Email:** astecinfo@godrejastec.com

Date: 20<sup>th</sup> June, 2017

### **IMPORTANT AND URGENT NOTICE FOR YOUR IMMEDIATE ATTENTION**

Dear Shareholder(s),

**Subject: Transfer of Equity Shares in case of Unclaimed Dividend in previous 7 (seven) consecutive years of the Company to Investor Education Protection Fund (IEPF).**

As our long term valued Shareholder, we would like to inform you that as per Section 124(5) of the Companies Act, 2013 ("the Act") [including any amendment(s) / modification(s) / re-enactment(s) thereof], all Unpaid / Unclaimed Dividend for a period of 7 (seven) years, are required to be transferred by the Company to the Investor Education and Protection Fund (IEPF) established by the Central Government. Further, Section 124(6) of the Act, requires that all Shares in respect of which Dividend has not been paid or claimed for 7 (seven) consecutive years or more, shall also be transferred by the Company to IEPF. Full details of such Unclaimed Dividends are updated by the Company on the website of Ministry of Corporate Affairs (MCA) and on the website of the Company at [www.astecls.com](http://www.astecls.com).

MCA has recently notified the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 ("the said Rules") [including any amendment(s) / modification(s) / re-enactment(s) thereof] which have come into force from 7<sup>th</sup> September, 2016. The said Rules, amongst other matters, contain provisions for transfer of all shares in respect of which Dividend has not been paid or claimed for 7 (seven) consecutive years or more to IEPF.

Accordingly, the Company is required to transfer all the Equity Shares against which the Dividend has remained Unclaimed / Unpaid from the Financial Year 2009-10 onwards, upon completion of 7 (seven) years i.e., by **Saturday, 23<sup>rd</sup> September, 2017** to IEPF.

Our records indicate that Dividend against Equity Shares held in your name has remained Unpaid / Unclaimed for a period of 7 (seven) consecutive years commencing from the Financial Year 2009-10, details of which are given herein below.

<b>Folio No./ DP ID CLIENT ID</b>	<b>Name of the Shareholder</b>	<b>Address of the Shareholder</b>	<b>Number of Equity Shares which shall be transferred to IEPF</b>	<b>Amount of Unpaid / Unclaimed Dividend for the Year 2009-10</b>	<b>Date of transfer to IEPF</b>
xxx	xxx	xxx	xxx	Rs. xxx/-	23 <sup>rd</sup> September, 2017

You are therefore requested, to claim the Unpaid / Unclaimed Dividend due to you by making an application as per attached enclosure immediately to Bigshare Services Private Limited, Registrar and Share Transfer Agents, on or before due date, preferably by Monday, 11<sup>th</sup> September, 2017.

You are requested to note that if the Dividend amounts are not claimed by Saturday, 23<sup>rd</sup> September, 2017, or such other date, as may be applicable under the law, the Company will be compelled to transfer the Equity Shares (whether held in physical or electronic form) to IEPF, without any further notice, by following the due process as provided in the said Rules, which is as under:-

- (1) **If you hold Equity Shares in physical form:** Duplicate Share Certificate(s) will be issued and Equity Shares will be transferred to IEPF. The Original Share Certificate(s) which is registered in your name and is / are held by you will automatically stand cancelled and be deemed non-negotiable.
- (2) **If you hold Equity Shares in electronic form:** Your Demat Account will be debited for the Equity Shares liable to be transferred to IEPF.

However, after the transfer of Equity Shares to IEPF, you may claim from IEPF authority, both Unclaimed Dividend amount(s) and the Equity Shares, by making an online application in the prescribed e-Form IEPF-5 and by sending physical copy of the same duly signed (as per registered specimen signature) alongwith requisite documents enumerated in the said e-Form IEPF-5 to the Company at its Registered Office or to Bigshare Services Private Limited, Registrar and Share Transfer Agents of the Company, for verification of your claim. We shall send a verification report to IEPF for refund of Unclaimed Dividend amount(s) and transfer of the Equity Shares back to the credit of the concerned Shareholder.

The said Rules and the Application Form (e-Form IEPF-5), as prescribed by the MCA for claiming back the Equity Shares / Unpaid Dividend(s) are available on the website of MCA at [www.iepf.gov.in](http://www.iepf.gov.in).

Please note that no claim shall lie against the Company in respect of Unclaimed Dividend(s) and Equity Shares transferred to IEPF in terms of the said Rules.

You are requested to take immediate necessary action, in order to avoid getting your Equity Shares compulsorily transferred to IEPF.

Please feel free to contact the Company / Bigshare Services Private Limited in case if you have any queries at the following Address(es) / email(s) / telephone number(s):

<b>Astec LifeSciences Limited</b> Godrej One, 3rd Floor, Pirojshanagar, Eastern Express Highway, Vikhroli (East), Mumbai-400079, Maharashtra Tel No.: 022-25195768 / 25195750 Fax No.: 022-22618189 Email: <a href="mailto:astecinvestors@godrejastec.com">astecinvestors@godrejastec.com</a>	<b>Bigshare Services Private Limited</b> E-2, Ansa Industrial Estate, Saki Vihar Road, Saki Naka, Andheri (East), Mumbai - 400072, Maharashtra Tel No.: 022-28470652,40430200,28470653 Fax No.: 022-28475207 Email: <a href="mailto:info@bigshareonline.com">info@bigshareonline.com</a>
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Thanking you,

Yours faithfully,

**For Astec LifeSciences Limited**

Sd/-

**Tejal Jariwala**

**Company Secretary & Compliance Officer**

**(ACS 32441)**

**Encl : A/a**

Date:

To,

**Company Secretary,**

**Astec LifeSciences Limited**

“Godrej One”, 3<sup>rd</sup> Floor,

Pirojshanagar, Eastern Express Hiighway,

Vikhroli (East), Mumbai-400079,

Maharashtra

**Subject: Transfer of Equity Shares in case of Unclaimed Dividend in previous 7 (seven) consecutive years of the Company to Investor Education Protection Fund (IEPF).**

**Ref: Folio No./DP ID/CL ID :- \_\_\_\_\_**

This is with reference to the letter received from Astec LifeSciences Limited regarding transfer of Unpaid / Unclaimed Dividend(s) to IEPF Account.

I/We\* confirm that I/We\* have not received / encashed the Dividend Warrant(s) for the year(s) \_\_\_\_\_.

I/We am/are enclosing Letter of Undertaking duly signed by me/all the shareholder(s) for remittance of the Dividend Warrant(s) amount.

\_\_\_\_\_

**Signature of Shareholder(s)**

\*Strike out whichever is not applicable

Note: Please find overleaf the format of Undertaking in this regard. This is a computer generated letter, hence does not require signature.

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**LETTER OF UNDERTAKING**

[For issue of duplicate Dividend Warrant(s) / Demand Draft(s) / Pay Order(s)]

To,

**Company Secretary**

**Astec LifeSciences Limited**

“Godrej One”, 3<sup>rd</sup> Floor,

Pirojshanagar, Eastern Express Highway,

Vikhroli (East), Mumbai-400079,

Maharashtra

**Ref: Folio / DP ID / CLIENT ID:** \_\_\_\_\_

I/We hereby confirm that I/we have not encashed, negotiated or otherwise dealt in respect of the following Dividend paid by the Company in the last 7 (seven) years, so as to create any adverse claim upon the amount of the Dividend Warrant(s).

The following are the details of the Unclaimed Dividend(s) for the subsequent Financial Years:

<b>Dividend Warrant No.</b>	<b>MICR No.</b>	<b>Amount (in Rs.)</b>	<b>Date of payment</b>

In consideration of your issuing to me / us all Demand Draft(s) / Pay Order(s) Duplicate Dividend Warrant(s) in lieu of the Dividend Warrant(s) lying Unclaimed / Unpaid in my / our name(s) and which are irretrievable / lost / misplaced / crediting the amounts directly to my Bank Account as mentioned below, I/we hereby agree and undertake to hold the Company, its Directors and Officers harmless and to keep them protected from / against all loss(es), cost(s) or damage(s) which you may sustain or incur by reason of the Company issuing Demand Draft(s) / Pay Order(s) / Duplicate Dividend Warrant(s) or by the original Dividend Warrant(s) being, at any time, found and presented for payment by any person or persons claiming to be the holder(s) of the Dividend Warrant(s) or in any way interested there in.

I/We further agree and undertake to return to you the original Dividend Warrants, should it be found by me/us or again come into my/our possession at any time hereafter.

<b>Particulars</b>	<b>Name</b>	<b>Signature</b>	
<b>First Holder</b>			
<b>Second Holder</b>			
<b>Third Holder</b>			
<b>Address of First Holder</b>			
<b>Bank Account Details : (for electronic credit of unpaid dividends and all future dividends)</b>			
<b>Name of the Bank</b>			
<b>Name of the Branch</b>			
<b>Account (as appearing in your Cheque Book)</b>			
<b>Account Type (Current/ Saving/ Cash Credit)</b>	<b>Saving</b>	<b>Current</b>	<b>Cash Credit</b>
<b>9 Digit MICR Number (as appearing on the MICR cheque issued by the bank) Please enclose a photocopy of a cheque for verification</b>			
<b>11 Digit IFSC Code</b>			

In case, at a later date if it is proved that the amount(s) has / have been credited to my / our account earlier, I / We undertake to refund the amount(s) paid through such Demand Draft(s) / Pay Order(s) / Duplicate Dividend Warrant(s) along with Interest @ 15% p.a., till the date of payment. I / We hereby for myself / ourselves, my / our heir(s), Executor(s), Administrator(s) and Assignee(s) agree to indemnify and save harmless and to pay the Company against all claim(s), damage(s), cost(s), charge(s), demand(s) and expense(s) which may be made against or suffered or incurred by the Company by reason of and in consequence of such Issue of Duplicate Dividend Warrant(s) or otherwise howsoever in relation thereto.

I/We hereby unconditionally and irrevocably submit to the exclusive jurisdiction of the Competent Forum in Mumbai with regard to any question or matter arising out of this undertaking and / or any other documents that may be executed by me / us or any of us in pursuance hereof arising there from.

I / We solemnly affirm and declare that whatever stated hereinabove is true and correct to the best of my / our knowledge and belief.

Name / Address/ Signature of Shareholder(s)

Name / Address/ Signature of Witness

1. \_\_\_\_\_

1. \_\_\_\_\_

(First Holder)

2. \_\_\_\_\_

2. \_\_\_\_\_

(Second Holder)

3. \_\_\_\_\_

(Third Holder)

Date:

Place:

\*Strike out whichever is not applicable.

**Instructions:-**

- 1) For the undertaking to be considered complete, please ensure the name, address and bank details of First holder and Joint holder(s), as applicable, are filled correctly and it is duly signed by all the holders and two witnesses.
- 2) In case of joint holdings, all the Joint Holders have to sign the Undertaking.
- 3) The above Undertaking is to be furnished on plain paper duly filled in and signed as per the specimen signature registered with the Company, duly attested by the Bank Manager specifying the Account number or Notarised.
- 4) Please note that if the Dividend amount is in excess of Rs. 500/- (Rupees Five Hundred Only) then this 'Undertaking' should be executed on a Rs.20/- (Rupees Twenty Only) Non-Judicial Stamp Paper or franked for Rs. 20/- (Rupees Twenty Only).
- 5) Please intimate us if there is any change / corrections in your Registered Address alongwith proof of Address.





## ASTEC LIFESCIENCES LIMITED

Corporate Identity Number (CIN): L99999MH1994PLC076236  
Registered Office: "Godrej One", 3<sup>rd</sup> Floor, Pirojshanagar, Eastern Express  
Highway, Vikhroli (East), Mumbai-400079, Maharashtra  
Tel.: +91 22 2518 8010, Fax: +91 22 2261 8289, Website: [www.astecsl.com](http://www.astecsl.com),  
Email: [astecinfo@godrejastec.com](mailto:astecinfo@godrejastec.com)

### NOTICE TO SHAREHOLDERS

#### TRANSFER OF EQUITY SHARES OF THE COMPANY TO INVESTOR EDUCATION AND PROTECTION FUND (IEPF) WHEREIN DIVIDEND IS UNCLAIMED FROM THE YEAR 2009-10

NOTICE is hereby given to the Shareholders of the Company, pursuant to the provisions of Section 124(6) of the Companies Act, 2013 ("the Act") read with the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 ("the Rules") (including any amendment(s) / modification(s) / re-enactment(s) thereof). The Act and the Rules, *inter alia*, contain provisions for transfer of all Shares, in respect of which, the Dividend has not been paid or claimed for 7 (seven) consecutive years or more to Investor Education and Protection Fund ("IEPF") established by the Central Government. However, where there is a specific order of Court or Tribunal or Statutory Authority restraining any transfer of such shares and payment of Dividend or where such Shares are pledged or hypothecated under the provisions of the Depositories Act, 1996, the Company will not transfer such Shares to IEPF.

Adhering to the various requirements set out in the Act and the Rules, the Company has sent individual communication to the concerned Shareholders whose Equity Shares are liable to be transferred to IEPF during the Financial Year 2017-18 at their address(es) registered with the Company and the Registrar and Share Transfer Agents, Bigshare Services Private Limited, vide our letter dated 20<sup>th</sup> June, 2017, providing the details of their Unclaimed Dividend(s) for 7 (seven) consecutive years and giving them the opportunity to claim the said Unclaimed Dividend(s) latest by Saturday, 23<sup>rd</sup> September, 2017, to avoid the transfer of their Equity Shares to IEPF, thereby requesting the Shareholders to take appropriate action(s) and to submit requisite documents to claim the Equity Shares and Unclaimed Dividend(s). All concerned Shareholders are requested to make application to Company or Company's Registrar & Transfer Agent, Bigshare Services Private Limited, preferably by Monday, 11<sup>th</sup> September, 2017 with a request for claiming Unclaimed Dividend(s) for the Year 2009-10 and onwards to enable processing of their claims before the due date.

The Company has uploaded the list of Shareholders containing details of the Names of the Shareholders, Folio Number or DP ID and Client ID and the number of Equity Shares due for transfer to IEPF on its website. Shareholders concerned are requested to refer the Company's website, viz., [www.astecsl.com](http://www.astecsl.com) to verify the details of their Unclaimed Dividend(s) and the Equity Shares liable to be transferred to IEPF.

The concerned Shareholders holding Equity Shares in physical form and whose Equity Shares are liable to be transferred to IEPF may note, that the Company will be issuing Duplicate Share Certificate(s) in lieu of the Original Share Certificate(s) held by them for the purpose of transfer of such Equity Shares to IEPF and consequently the Original Share Certificate(s) will stand automatically cancelled and be deemed to be non-negotiable. The Shareholders may further note that the details of the concerned Shareholders uploaded on the Company's website should be regarded and shall be deemed adequate notice in respect of issue of Duplicate Share Certificate(s) by the Company for the purpose of transfer of Equity Shares to IEPF. In case the concerned Shareholders, holding Equity Shares in Dematerialised form / Electronic mode, the Equity shares shall be directly transferred to IEPF, with the help of Depository Participant(s), without any further notice.

Kindly note that all the future benefits including but not limited to Dividend arising on such Equity Shares would also be credited to IEPF. Shareholders may also note that both the Unclaimed Dividend and the Equity Shares transferred to the IEPF including all benefits accruing on such Equity Shares, if any, can be claimed back by them from IEPF after following the procedure prescribed in the Rules, details of which are available at [www.iepf.gov.in](http://www.iepf.gov.in).

Notice is hereby given that, in case no valid claims in respect of such Equity Shares is received from the Shareholders by the due date or such other date as may be applicable under the law, the Company shall with a view to comply with the requirements set out in the Rules, be compelled to transfer the Equity Shares to IEPF by the due date, as per procedure stipulated in the Rules. Please note that no claim shall lie against the Company in respect of Unclaimed Dividend(s) and Equity Shares transferred to IEPF.

For any information / clarifications on the subject matter, concerned Shareholders may write to the Company at [astecinvestors@godrejastec.com](mailto:astecinvestors@godrejastec.com) or contact the Company's Registrar and Share Transfer Agents, Bigshare Services Private Limited, E-2, Ansa Industrial Estate, Saki Vihar Road, Saki Naka, Andheri (East), Mumbai- 400072, Maharashtra, Tel: 022-40430200, Email: [info@bigshareonline.com](mailto:info@bigshareonline.com).

A copy of this Notice is made available on the website of the Company, [www.astecsl.com](http://www.astecsl.com) and also on the website(s) of Stock Exchange(s), viz., BSE Limited at [www.bseindia.com](http://www.bseindia.com) and the National Stock Exchange of India Limited at [www.nseindia.com](http://www.nseindia.com).

For Astec LifeSciences Limited  
Sd/-

Tejal Jariwala

Company Secretary & Compliance Officer  
(ACS 32441)

Date: 21<sup>st</sup> June, 2017  
Place: Mumbai