

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Astec LifeSciences Limited**
 2. Quarter ending - **30-Sep-2021**

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of Cessation	Tenure	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Mr.	Nadir B. Godrej	00066195	C,NED		12-Oct-2015	22-Jul-2020			26-Aug-1951	NA		5	0	2	1		
Mr.	Balram S. Yadav	00294803	NED		12-Oct-2015	28-Jul-2021			15-Jul-1964	NA		2	0	4	1	SC,RC, NRC	
Mr.	Ashok V. Hiremath	00349345	ED	MD	25-Jan-1994	01-Apr-2020			31-May-1955	NA		2	1	3	0	AC,RC	
Mr.	Rakesh Dogra	07334098	NED		06-Nov-2015	26-Jul-2019			15-Feb-1967	NA		1	0	0	0		

Mr.	Arijit Mukherjee	07334111	ED		06-Nov-2015	04-May-2019	28-Jul-2021		28-Jan-1970	NA		1	0	0	0		
Mr.	Brahma Nand Vyas	02796071	ID		29-Jan-2016	29-Jan-2021		68	07-Oct-1949	NA		1	1	1	0	AC	
Mr.	Vijay Kashinath Khot	03520249	ID		29-Jan-2016	29-Jan-2021		68	14-May-1949	NA		1	1	2	0	AC,SC, NRC	
Mr.	Rishinaram Ramakrishna Govindan	02148801	ID		30-Jan-2020	30-Jan-2020		20	13-Aug-1954	NA		1	1	2	1	AC,SC, RC,NRC	
Mr.	Nandkumar Dhekne	02189370	ID		18-Dec-2020	18-Dec-2020		10	02-Sep-1957	NA		3	3	1	0		
Ms.	Anjali Rajesh Gupte	00104884	ID		18-Dec-2020	18-Dec-2020		10	03-Sep-1970	NA		1	1	0	0		
Mr.	Anurag Roy	07444595	ED	CEO	28-Jul-2021	28-Jul-2021			06-Jul-1974	NA		1	0	0	0		

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

ii. Composition of Committees

a. Audit Committee

Sr.	Name of the Director	Category	Chairperson/Membership	Appointment	Cessation
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No.				Date	Date
1	Rishinaradamangalam Ramakrishna Govindan	ID	Chairperson	30-Jan-2020	
2	Ashok V. Hiremath	ED	Member	06-Nov-2015	
3	Vijay Kashinath Khot	ID	Member	21-Jul-2016	
4	Brahma Nand Vyas	ID	Member	01-Aug-2018	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Balram S. Yadav	NED	Chairperson	12-Oct-2015	
2	Rishinaradamangalam Ramakrishna Govindan	ID	Member	30-Jan-2020	
3	Vijay Kashinath Khot	ID	Member	29-Sep-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Ashok V. Hiremath	ED	Chairperson	30-May-2014	
2	Rishinaradamangalam Ramakrishna Govindan	ID	Member	30-Jan-2020	
3	Balram S. Yadav	NED	Member	26-Jul-2016	
4	Saurav Bhala	Member	Member	25-Oct-2017	

Company Remarks	
Whether Permanent chairperson appointed	Yes

d. Nomination and Remuneration Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Rishinaradamangalam Ramakrishna Govindan	ID	Chairperson	29-Sep-2020	
2	Vijay Kashinath Khot	ID	Member	29-Sep-2020	
3	Balram S. Yadav	NED	Member	12-Oct-2015	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
30-Apr-2021	28-Jul-2021	Yes	5	5

Company Remarks	
Maximum gap between any two consecutive (in number of days)	88

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	30-Apr-2021		Yes		
Audit Committee		28-Jul-2021	Yes	1	3
Nomination & Remuneration Committee	30-Apr-2021		Yes		

Nomination & Remuneration Committee		28-Jul-2021	Yes	0	2
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Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	88

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**

b. Any comments/observations/advice of Board of Directors may be mentioned here:

Name : **Sd/-**
Designation : **Tejashree Pradhan**
Company Secretary & Compliance Officer

Affirmations

ANNEXURE III

Broad Heading	Regulation Number	Compliance Status	Company Remark
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

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Sd/-

Name : **Tejashree Pradhan**
Designation : **Company Secretary & Compliance Officer**

ANNEXURE IV

%symbol%	%companyName%	%quarterEnded%
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(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to

Aggregate amount advanced during six months				Balance outstanding at the end of six months			
<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>
0	0	0	0	0	0	0	0

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>	NA	0	0
<i>Promoter Group or any other entity</i>	NA	0	0

<i>controlled by them</i>			
<i>Directors (including relatives) or any other entity controlled by them</i>	NA	0	0
<i>KMPs or any other entity controlled by them</i>	NA	0	0

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
<i>Promoter or any other entity controlled by them</i>	NA	0	0
<i>Promoter Group or any other entity controlled by them</i>	NA	0	0
<i>Directors (including relatives) or any other entity controlled by them</i>	NA	0	0
<i>KMPs or any other entity controlled by them</i>	NA	0	0

(D) If the Listed Entity would like to provide any other information the same may be indicated here

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Affirmations

All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company

Company Remarks in case of non-compliant status

The present report is with NIL details.

Name: Saurav Bhala
Designation: Chief Financial Officer
Place: Mumbai
Date: 20-Oct-2021