

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Astec LifeSciences Limited**
 2. Quarter ending - **31-Mar-2021**

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	Category (Chairperson /Executive/Non-Executive/Independent/Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether special resolution passed ?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Memberships in Committees of the Company	Remarks
Mr.	Nadir B. Godrej	00066195	C,NED		12-Oct-2015	22-Jul-2020			26-Aug-1951	NA		5	0	3	2		
Mr.	Balram S. Yadav	00294803	NED		12-Oct-2015	01-Aug-2018			15-Jul-1964	NA		2	0	4	1	SC,RC, NRC	

Mr.	Ashok V. Hiremath	00349345	ED	MD	25-Jan-1994	01-Apr-2020			31-May-1955	NA		2	1	3	0	AC,RC	
Mr.	Rakesh Dogra	07334098	NED		06-Nov-2015	26-Jul-2019			15-Feb-1967	NA		1	0	0	0		
Mr.	Arijit Mukherjee	07334111	ED		06-Nov-2015	04-May-2019			28-Jan-1970	NA		1	0	0	0		
Mr.	Brahma Nand Vyas	02796071	ID		29-Jan-2016	29-Jan-2021	62		07-Oct-1949	NA		1	1	1	0	AC	
Mr.	Vijay Kashinath Khot	03520249	ID		29-Jan-2016	29-Jan-2021	62		14-May-1949	NA		1	1	2	0	AC,SC, NRC	
Mr.	Rishinangalam Ramakrishna Govindan	02148801	ID		30-Jan-2020	30-Jan-2020	14		13-Aug-1954	NA		1	1	2	1	AC,SC, RC,NRC	
Mr.	Nandkumar Dhekne	02189370	ID		18-Dec-2020	18-Dec-2020	4		02-Sep-1957	NA		2	2	1	0		
Ms.	Anjali Rajesh Gupte	00104884	ID		18-Dec-2020	18-Dec-2020	4		03-Sep-1970	NA		1	1	0	0		

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

ii. Composition of Committees

a. Audit Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Rishinaradamangalam Ramakrishna Govindan	ID	Chairperson	30-Jan-2020	
2	Ashok V. Hiremath	ED	Member	06-Nov-2015	
3	Vijay Kashinath Khot	ID	Member	21-Jul-2016	
4	Brahma Nand Vyas	ID	Member	01-Aug-2018	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Balram S. Yadav	NED	Chairperson	12-Oct-2015	
2	Rishinaradamangalam Ramakrishna Govindan	ID	Member	30-Jan-2020	
3	Vijay Kashinath Khot	ID	Member	29-Sep-2020	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Ashok V. Hiremath	ED	Chairperson	30-May-2014	
2	Rishinaradamangalam Ramakrishna Govindan	ID	Member	30-Jan-2020	
3	Balram S. Yadav	NED	Member	26-Jul-2016	
4	Saurav Bhala	Member	Member	25-Oct-2017	

Company Remarks	
Whether Permanent chairperson appointed	Yes

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Rishinaradamangalam Ramakrishna Govindan	ID	Chairperson	29-Sep-2020	
2	Vijay Kashinath Khot	ID	Member	29-Sep-2020	
3	Balram S. Yadav	NED	Member	12-Oct-2015	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
29-Oct-2020	25-Jan-2021	Yes	10	5
18-Dec-2020		Yes	7	3

Company Remarks	
Maximum gap between any two consecutive (in number of days)	37

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	29-Oct-2020		Yes	4	3
Audit Committee		25-Jan-2021	Yes	4	3

Nomination & Remuneration Committee	18-Dec-2020		Yes	3	2
Stakeholders Relationship Committee		25-Jan-2021	Yes	3	2

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	87

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. **Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**

4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
b. Any comments/observations/advice of Board of Directors may be mentioned here:

%affirmComments%

Name : Sd/-
Designation : **Tejashree Pradhan**
Company Secretary & Compliance Officer

ANNEXURE II

Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations			
Item	Compliance status	Company Remark	Website
As per regulation 46(2) of the LODR:			
Details of business	Yes		www.astecls.com
Terms and conditions of appointment of	Yes		www.astecls.com
Composition of various committees of	Yes		www.astecls.com
Code of conduct of board of directors and	Yes		www.astecls.com
Details of establishment of vigil mechanism/	Yes		www.astecls.com
Criteria of making payments to non-	Yes		www.astecls.com
Policy on dealing with related party	Yes		www.astecls.com
Policy for determining 'material' subsidiaries	Yes		www.astecls.com
Details of familiarization programs imparted	Yes		www.astecls.com
Email address for grievance redressal and other relevant details entity who are	Yes		www.astecls.com
Contact information of the designated	Yes		www.astecls.com
Financial results	Yes		www.astecls.com
Shareholding pattern	Yes		www.astecls.com
Details of agreements entered into with the media companies and/or their associates	Not Applicable		
Schedule of analyst or institutional investor meet and presentations made by the listed	Yes		www.astecls.com
New name and the old name of the listed	Not		
Advertisements as per regulation 47 (1)	Yes		www.astecls.com
Credit rating or revision in credit rating	Yes		www.astecls.com
Separate audited financial statements of	Yes		www.astecls.com
As per other regulations of the LODR:			
Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes		www.astecls.com
Materiality Policy as per Regulation 30	Yes		www.astecls.com
Dividend Distribution policy as per	Yes		www.astecls.com
It is certified that these contents on the	Yes		www.astecls.com
II Annual Affirmations			

Particulars	Regulation Number	Compliance status	Company Remark
<i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i>	16(1)(b) & 25(6)	Yes	
<i>Board composition</i>	17(1), 17(1A) & 17(1B)	Yes	
<i>Meeting of Board of directors</i>	17(2)	Yes	
<i>Quorum of Board meeting</i>	17(2A)	Yes	
<i>Review of Compliance Reports</i>	17(3)	Yes	
<i>Plans for orderly succession for appointments</i>	17(4)	Yes	
<i>Code of Conduct</i>	17(5)	Yes	
<i>Fees/compensation</i>	17(6)	Yes	
<i>Minimum Information</i>	17(7)	Yes	
<i>Compliance Certificate</i>	17(8)	Yes	
<i>Risk Assessment & Management</i>	17(9)	Yes	
<i>Performance Evaluation of Independent Directors</i>	17(10)	Yes	
<i>Recommendation of Board</i>	17(11)	Yes	
<i>Maximum number of directorship</i>	17A	Yes	
<i>Composition of Audit Committee</i>	18(1)	Yes	
<i>Meeting of Audit Committee</i>	18(2)	Yes	
<i>Composition of nomination & remuneration committee</i>	19(1) & (2)	Yes	
<i>Quorum of Nomination and Remuneration Committee meeting</i>	19(2A)	Yes	
<i>Meeting of nomination & remuneration committee</i>	19(3A)	Yes	
<i>Composition of Stakeholder Relationship Committee</i>	20(1), 20(2) and 20(2A)	Yes	
<i>Meeting of stakeholder relationship committee</i>	20(3A)	Yes	
<i>Composition and role of risk management committee</i>	21(1),(2),(3),(4)	Yes	
<i>Meeting of Risk Management Committee</i>	22	Yes	
<i>Vigil Mechanism</i>	22	Yes	

<i>Policy for related party Transaction</i>	23(1), (1A), (5), (6)	Yes	
<i>Prior or Omnibus approval of Audit Committee for all related party</i>	23(2), (3)	Yes	
<i>Approval for material related party transactions</i>	23(4)	Not Applicable	
<i>Disclosure of related party transactions on consolidated basis</i>	23(9)	Yes	
<i>Composition of Board of Directors of unlisted material Subsidiary</i>	24(1)	Not Applicable	
<i>Other Corporate Governance requirements with respect to subsidiary of Annual Secretarial Compliance Report</i>	24(2), (3), (4), (5) & (6)	Yes	
	24(A)	Yes	
<i>Alternate Director to Independent Director</i>	25(1)	Yes	
<i>Maximum Tenure</i>	25(2)	Yes	
<i>Meeting of independent directors</i>	25(3) & (4)	Yes	
<i>Familiarization of independent directors</i>	25(7)	Yes	
<i>Declaration from Independent Director</i>	25(8) & (9)	Yes	
<i>D & O Insurance for Independent</i>	25(10)	Not Applicable	
<i>Memberships in Committees</i>	26(1)	Yes	
<i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i>	26(3)	Yes	
<i>Disclosure of Shareholding by Non-Executive Directors</i>	26(4)	Yes	
<i>Policy with respect to Obligations of directors and senior management</i>	26(2) & 26(5)	Yes	

Other Information	
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III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Yes**

Other Information	
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Name : Sd/-
Designation : **Tejashree Pradhan**
Company Secretary & Compliance Officer