

Annexure D to the Board's Report

FORM NO. MGT – 9 EXTRACT OF ANNUAL RETURN

As at the Financial Year ended 31st March, 2020

[Pursuant to Section 92(3) of the Companies Act, 2013 and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:	
i) Corporate Identity Number (CIN):-	L99999MH1994PLC076236
ii) Registration Date:-	25 th January, 1994
iii) Name of the Company:-	Astec LifeSciences Limited
iv) Category/Sub-Category of the Company:-	Public Company Limited by Shares
v) Address of the Registered Office and Contact Details:-	“Godrej One”, 3 rd Floor, Pirojshanagar, Eastern Express Highway, Vikhroli (East), Mumbai-400 079, Maharashtra, India Tel. No.: 022 – 2518 8010; Fax No.: 022 – 2261 8289 Email: astecinfo@godrejastec.com Website: www.astecsl.com
vi) Whether listed company (Yes / No):-	Yes
vii) Name, Address and Contact details of Registrar and Transfer Agent, if any:-	Bigshare Services Private Limited 1 st Floor, Bharat Tin Works Building, Opp. Vasant Oasis, Makwana Road, Marol, Andheri (East), Mumbai – 400 059, Maharashtra, India Tel. No.: 022 – 6263 8200; Fax No.: 022 – 6263 8299 Email: investor@bigshareonline.com Website: www.bigshareonline.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:			
All the business activities contributing 10% or more of the total turnover of the Company are stated as under:-			
Sr. No.	Name and Description of Main Products / Services	NIC Code of the Product / Service	% to Total Turnover of the Company
1.	Agro Chemicals – Fungicides, Herbicides, Insecticides & Intermediates	20211 & 20219	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES:					
Sl. No.	Name and Address of the Company	Corporate Identity Number (CIN) /Global Location Number (GLN)	Holding / Subsidiary / Associate	% of shares held*	Applicable Section
1	Godrej Agrovet Limited Registered Office: Godrej One, 3 rd Floor, Pirojshanagar, Eastern Express Highway, Vikhroli (East), Mumbai – 400 079 Maharashtra, India	L15410MH1991PLC135359	Holding Company	62.37%**	Section 2(46)
2	Godrej Industries Limited Registered Office: Godrej One, Pirojshanagar, Eastern Express Highway, Vikhroli (East), Mumbai – 400 079, Maharashtra, India	L24241MH1988PLC097781	Holding Company (Holding Company of the Company's Holding Company)	Nil (No direct Share-holding)	Section 2(46)
3.	Behram Chemicals Private Limited Registered Office: Godrej One, 3 rd Floor, Pirojshanagar, Eastern Express Highway, Vikhroli (East), Mumbai – 400 079 Maharashtra, India	U24100MH1993PTC071480	Subsidiary Company	65.63%	Section 2(87)(ii)
4.	Astec Europe Sprl Registered Office: Siège : 'rue de Pont, 33 7500 Tournai,' Belgium, Europe	Foreign Company	Subsidiary Company	50.10%	Section 2(87)(ii)
5.	Comercializadora Agricola Agroastrachem Cia Ltda Registered Office: Carrera 50 # 150 A-85 Tower 3-301 Bogota D.C., Colombia	Foreign Company	Subsidiary Company	100.00%	Section 2(87)(ii)

* Percentage of Shareholding is as on 31st March, 2020.

** The Shareholding of Godrej Agrovet Limited as on 31st March, 2020 as per Register of Members was 119,72,830 i.e. 61.18%. Further, Godrej Agrovet Limited acquired additional 93,740 Equity Shares on 27th March, 2020, 82,135 Equity Shares on 30th March, 2020 and 55,311 Equity Shares on 31st March, 2020 aggregating to total shareholding of 1,22,04,016 Equity Shares, i.e., 62.37%, which was subsequently reflected in the Register of Members, after 31st March, 2020.

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity):									
i) Category-wise Shareholding									
Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year (Difference between A & B)*
	Demat	Physical	Total	% of Total Shares (A)	Demat	Physical	Total	% of Total shares (B)	
A. Promoters									
(1) Indian									
a) Individual/HUF	19,45,506	0	19,45,506	9.95	19,86,006	0	19,86,006	10.15	0.20
b) Central Government	0	0	0	0	0	0	0	0	0.00
c) State Government(s)	0	0	0	0	0	0	0	0	0.00
d) Bodies Corporate	1,12,75,466	0	1,12,75,466	57.67	1,19,72,830	0	1,19,72,830**	61.18	3.52
e) Banks/ Financial Institutions	0	0	0	0	0	0	0	0	0.00
f) Any Other: Directors Relatives	0	0	0	0	0	0	0	0	0.00
Sub-total (A) (1)	1,32,20,972	0	1,32,20,972	67.62	1,39,58,836	0	1,39,58,836	71.33	3.72
(2) Foreign									
a) NRIs – Individuals	0	0	0	0	0	0	0	0	0.00
b) Other – Individuals	0	0	0	0	0	0	0	0	0.00
c) Bodies Corporates	0	0	0	0	0	0	0	0	0.00
d) Banks / Financial Institutions	0	0	0	0	0	0	0	0	0.00
e) Any Other	0	0	0	0	0	0	0	0	0.00
Sub-total (A) (2)	0	0	0	0	0	0	0	0	0.00
Total shareholding of Promoter (A) = (A)(1)+(A)(2)	1,32,20,972	0	1,32,20,972	67.62	1,39,58,836	0	1,39,58,836	71.33	3.72
B. Public Shareholding									
(1) Institutions									
a) Mutual Funds	4,04,693	0	4,04,693	2.07	50,000	0	50,000	0.26	(1.81)
b) Banks / Financial Institutions	6,378	0	6,378	0.03	48,985	0	48,985	0.25	0.22
c) Central Government	0	0	0	0	0	0	0	0	0.00
d) State Government(s)	0	0	0	0	0	0	0	0	0.00
e) Venture Capital Funds	0	0	0	0	0	0	0	0	0.00
f) Insurance Companies	0	0	0	0	0	0	0	0	0.00
g) Foreign Institutional Investors (FIIs)	0	0	0	0	0	0	0	0	0.00
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0.00
i) Others (specify) Foreign Portfolio Investors	1,73,783	0	1,73,783	0.89	1,65,316	0	1,65,316	0.84	(0.04)
Sub-total (B)(1)	5,84,854	0	5,84,854	2.99	2,64,301	0	2,64,301	1.35	(1.64)

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity):
i) Category-wise Shareholding

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year (Difference between A & B)*
	Demat	Physical	Total	% of Total Shares (A)	Demat	Physical	Total	% of Total shares (B)	
(2) Non-Institutions									
a) Bodies Corporates									
(i) Indian	7,39,585	0	7,39,585	3.78	5,90,177	0	5,90,177	3.02	(0.77)
(ii) Overseas	0	0	0	0	0	0	0	0	0.00
b) Individuals									
(i) Individual Shareholders holding nominal share capital up to ₹1 Lakh	25,96,140	606	25,96,746	13.28	21,52,221	606	21,52,827	11	(2.28)
(ii) Individual Shareholders holding nominal share capital in excess of ₹1 Lakh	18,24,146	0	18,24,146	9.33	19,71,815	0	19,71,815	10.08	0.75
c) Others (Specify)									
(i) Trust	0	0	0	0	0	0	0	0	0.00
(ii) Clearing Member	49,880	0	49,880	0.26	2,87,510	0	2,87,510	1.47	1.21
(iii) Non Resident Indian	5,23,174	0	5,23,174	2.68	3,27,773	0	3,27,773	1.68	(1.00)
(iv) Directors & their Relatives	8,003	0	8,003	0.04	8,003	0	8,003	0.04	0.00
(v) Investor Education and Protection Fund	5,295	0	5,295	0.03	7,113	0	7,113	0.04	0.01
(vi) NBFC Registered with RBI	0	0	0	0	0	0	0	0	0.00
Sub-total (B)(2)	57,46,223	606	57,46,829	29.39	53,44,612	606	53,45,218	27.32	(2.08)
Total Public Shareholding (B)=(B)(1)+ (B)(2)	63,31,077	606	63,31,683	32.38	56,08,913	606	56,09,519	28.67	(3.72)
C. Shares held by Custodian for GDRs & ADRs (C)	0	0	0	0	0	0	0	0	0.00
Grand Total (A+B+C)	1,95,52,049	606	1,95,52,655	100	1,95,67,749	606	1,95,68,355	100	0.00

*Difference in % of shareholding is also due to increase in Paid-up Equity Share Capital of the Company on account of allotment of 10,900 Equity Shares under Employees Stock Option Plan, 2012 (ESOP 2012) and 4,800 Equity Shares under Employees Stock Option Scheme, 2015 (ESOS 2015).

** Godrej Agrovet Limited acquired additional 93,740 Equity Shares on 27th March, 2020, 82,135 Equity Shares on 30th March, 2020 and 55,311 Equity Shares on 31st March, 2020 aggregating to total shareholding of 1,22,04,016 Equity Shares, i.e., 62.37%, which was subsequently reflected in the Register of Members, after 31st March, 2020.

ii) Shareholding of Promoters and Promoter Group								
Sl. No.	Shareholder's Name	Shareholding at the beginning of the Year			Shareholding at the end of the Year			% Change In shareholding during the year (Difference between A and B)*
		No. of Shares	% of total shares of the Company (A)	% of Shares pledged/ encumbered of total shares	No. of Shares	% of total shares of the Company (B)	% of Shares pledged/ encumbered of total shares	
1	Godrej Agrovet Limited	1,12,75,466	57.67	0.00	119,72,830**	61.18	0.00	3.52
2	Ashok V. Hiremath	19,45,506	9.95	0.00	19,45,506	9.94	0.00	(0.01)
3	Chitrallekha Ashok Hiremath #	0	0.00	0.00	40,500	0.21	0.00	0.21
	Total	1,32,20,972	67.62	0.00	139,58,836	71.33	0.00	3.72

*Difference in % of shareholding is also due to increase in Paid-up Equity Share Capital of the Company on account of allotment of 10,900 Equity Shares under Employees Stock Option Plan, 2012 (ESOP 2012) and 4,800 Equity Shares under Employees Stock Option Scheme, 2015 (ESOS 2015).

** Godrej Agrovet Limited acquired additional 93,740 Equity Shares on 27th March, 2020, 82,135 Equity Shares on 30th March, 2020 and 55,311 Equity Shares on 31st March, 2020 aggregating to total shareholding of 1,22,04,016 Equity Shares, i.e., 62.37%, which was subsequently reflected in the Register of Members after 31st March, 2020.

Chitrallekha Ashok Hiremath is a part of the Promoter Group of the Company.

(iii) Change in Promoters' and Promoter Group's Shareholding					
Sl. No.	Particulars	Shareholding at the beginning of the Year		Cumulative Shareholding during the Year	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company*
1	Godrej Agrovet Limited				
	At the beginning of the year - As on 1 st April, 2019	112,75,466	57.67	-	-
	Date wise Increase / Decrease in Promoters' Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity, etc.):	-	-	6,97,364	3.56
	At the End of the year - As on 31 st March, 2020	-	-	1,19,72,830**	61.18

Note:

Increase: Acquired 5,959 Equity Shares on 20-05-2019; Acquired 17,618 Equity Shares on 21-05-2019; Acquired 2,460 Equity Shares on 22-05-2019; Acquired 1,390 Equity Shares on 23-05-2019; Acquired 2,557 Equity Shares on 24-05-2019; Acquired 4,652 Equity Shares on 28-05-2019; Acquired 2,136 Equity Shares on 29-05-2019; Acquired 2,225 Equity Shares on 31-05-2019; Acquired 5,452 Equity Shares on 03-06-2019; Acquired 931 Equity Shares on 04-06-2019; Acquired 255 Equity Shares on 06-06-2019; Acquired 2,262 Equity Shares on 07-06-2019; Acquired 2,496 Equity Shares on 10-06-2019; Acquired 13,787 Equity Shares on 11-06-2019; Acquired 10,508 Equity Shares on 12-06-2019; Acquired 4,447 Equity Shares on 13-06-2019; Acquired 3,682 Equity Shares on 17-06-2019; Acquired 4,100 Equity Shares on 18-06-2019; Acquired 3,885 Equity Shares on 19-06-2019; Acquired 2,931 Equity Shares on 20-06-2019; Acquired 2,864 Equity Shares on 21-06-2019; Acquired 2,175 Equity Shares on 24-06-2019; Acquired 4,047 Equity Shares on 25-06-2019; Acquired 1,488 Equity Shares on 28-06-2019; Acquired 1,664 Equity Shares on 31-07-2019; Acquired 12,612 Equity Shares on 01-08-2019; Acquired 8,124 Equity Shares on 02-08-2019; Acquired 11,770 Equity Shares on 05-08-2019; Acquired 3,251 Equity Shares on 06-08-2019; Acquired 9,755 Equity Shares on 07-08-2019; Acquired 5,527 Equity Shares on 08-08-2019; Acquired 16 Equity Shares on

09-08-2019; Acquired 9,828 Equity Shares on 13-08-2019; Acquired 704 Equity Shares on 14-08-2019; Acquired 30 Equity Shares on 16-08-2019; Acquired 507 Equity Shares on 19-08-2019; Acquired 1,230 Equity Shares on 20-08-2019; Acquired 41,676 Equity Shares on 21-08-2019; Acquired 7,082 Equity Shares on 22-08-2019; Acquired 2,910 Equity Shares on 23-08-2019; Acquired 257 Equity Shares on 27-08-2019; Acquired 1,568 Equity Shares on 03-09-2019; Acquired 3 Equity Shares on 13-09-2019; Acquired 1,959 Equity Shares on 16-09-2019; Acquired 7,946 Equity Shares on 17-09-2019; Acquired 933 Equity Shares on 18-09-2019; Acquired 3,313 Equity Shares on 19-09-2019; Acquired 15,903 Equity Shares on 20-09-2019; Acquired 1,569 Equity Shares on 23-09-2019; Acquired 2,984 Equity Shares on 24-09-2019; Acquired 3,226 Equity Shares on 25-09-2019; Acquired 5,377 Equity Shares on 26-09-2019; Acquired 3,723 Equity Shares on 30-09-2019; Acquired 6,459 Equity Shares on 06-11-2019; Acquired 6,749 Equity Shares on 07-11-2019; Acquired 5,768 Equity Shares on 08-11-2019; Acquired 5,171 Equity Shares on 11-11-2019; Acquired 5,037 Equity Shares on 13-11-2019; Acquired 2,173 Equity Shares on 14-11-2019; Acquired 915 Equity Shares on 18-11-2019; Acquired 4,882 Equity Shares on 19-11-2019; Acquired 2,306 Equity Shares on 20-11-2019; Acquired 15,077 Equity Shares on 03-12-2019; Acquired 3,823 Equity Shares on 04-12-2019; Acquired 750 Equity Shares on 06-12-2019; Acquired 250 Equity Shares on 09-12-2019; Acquired 10 Equity Shares on 11-12-2019; Acquired 4,170 Equity Shares on 19-12-2019; Acquired 2,968 Equity Shares on 20-12-2019; Acquired 601 Equity Shares on 23-12-2019; Acquired 4,362 Equity Shares on 24-12-2019; Acquired 4,565 Equity Shares on 26-12-2019; Acquired 729 Equity Shares on 27-12-2019; Acquired 15,264 Equity Shares on 31-12-2019; Acquired 4,285 Equity Shares on 18-02-2020; Acquired 1,933 Equity Shares on 19-02-2020; Acquired 6,204 Equity Shares on 20-02-2020; Acquired 1,220 Equity Shares on 24-02-2020; Acquired 5,275 Equity Shares on 25-02-2020; Acquired 12,307 Equity Shares on 28-02-2020; Acquired 12,914 Equity Shares on 02-03-2020; Acquired 15,703 Equity Shares on 03-03-2020; Acquired 17,891 Equity Shares on 04-03-2020; Acquired 1,828 Equity Shares on 05-03-2020; Acquired 27,376 Equity Shares on 06-03-2020; Acquired 8,713 Equity Shares on 09-03-2020; Acquired 6,921 Equity Shares on 11-03-2020; Acquired 13,821 Equity Shares on 12-03-2020; Acquired 6,357 Equity Shares on 16-03-2020; Acquired 2,624 Equity Shares on 17-03-2020; Acquired 13,200 Equity Shares on 18-03-2020; Acquired 26,991 Equity Shares on 19-03-2020; Acquired 14,961 Equity Shares on 20-03-2020; Acquired 12,281 Equity Shares on 23-03-2020; Acquired 3,372 Equity Shares on 24-03-2020; Acquired 26,754 Equity Shares on 25-03-2020; Acquired 92,650 Equity Shares on 26-03-2020.

Decrease: Nil

*Difference in % of shareholding is also due to increase in Paid-up Equity Share Capital of the Company on account of allotment of 10,900 Equity Shares under Employees Stock Option Plan, 2012 (ESOP 2012) and 4,800 Equity Shares under Employees Stock Option Scheme, 2015 (ESOS 2015).

** Godrej Agrovet Limited acquired additional 93,740 Equity Shares on 27th March, 2020, 82,135 Equity Shares on 30th March, 2020 and 55,311 Equity Shares on 31st March, 2020 aggregating to total shareholding of 1,22,04,016 Equity Shares, i.e., 62.37%, which was subsequently reflected in the Register of Members after 31st March, 2020.

Sl. No.	Particulars	Shareholding at the beginning of the Year		Cumulative Shareholding during the Year	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
2	Chitralekha Ashok Hiremath #				
	At the beginning of the year - As on 1 st April, 2019	0	0.00	-	-
	Date wise Increase / Decrease in Promoters' Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity, etc.):	-	-	40,500	0.21
	At the End of the year - As on 31 st March, 2020	-	-	40,500	0.21

Note:

Increase: Acquired 500 Equity Shares on 22-05-2019; Acquired 40,000 Equity Shares on 29-07-2019.

Decrease: Nil

Chitrallekha Ashok Hiremath is a part of the Promoter Group of the Company

*Difference in % of shareholding is also due to increase in Paid-up Equity Share Capital of the Company on account of allotment of 10,900 Equity Shares under Employees Stock Option Plan, 2012 (ESOP 2012) and 4,800 Equity Shares under Employees Stock Option Scheme, 2015 (ESOS 2015).

Sl. No.	Particulars	Shareholding at the beginning of the Year		Cumulative Shareholding during the Year	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
3	Ashok V. Hiremath (No Change)				
	At the beginning of the year - As on 1 st April, 2019	19,45,506	9.95	-	-
	Date wise Increase / Decrease in Promoters' Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity, etc.):	-	-	-	-
	At the End of the year - As on 31 st March, 2020	-	-	19,45,506	9.94*

Note:

Increase: Nil

Decrease: Nil

*Difference in % of shareholding is due to increase in Paid-up Equity Share Capital of the Company on account of allotment of 10,900 Equity Shares under Employees Stock Option Plan, 2012 (ESOP 2012) and 4,800 Equity Shares under Employees Stock Option Scheme, 2015 (ESOS 2015).

iv) Shareholding Pattern of Top Ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):				
1. SHREANS DAGA				
For each of Top 10 Shareholders	Shareholding at the beginning of the Year		Cumulative Shareholding during the Year	
	No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
At the beginning of the year	5,71,087	2.92	-	-
Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity, etc.)	-	-	(13,000)	(0.07)
At the End of the Year (or on the date of separation, if separated during the year)	-	-	5,58,087	2.85

Note:

Increase: Acquired 7,424 Equity Shares on 26-07-2019.

Decrease: Sold 20,424 Equity Shares on 31-12-2019.

2. SUNDARAM MUTUAL FUND A/C SUNDARAM RURAL AND CONSUMPTION FUND				
For each of Top 10 Shareholders	Shareholding at the beginning of the Year		Cumulative Shareholding during the Year	
	No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
At the beginning of the year	3,99,922	2.05	-	-
Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity, etc.,)	-	-	(3,49,922)	(1.79)
At the End of the Year (or on the date of separation, if separated during the year)	-	-	50,000	0.26

Note:

Increase: Nil

Decrease: Sold 19,922 Equity Shares on 06-03-2020; Sold 10,000 Equity Shares on 13-03-2020; Sold 22,100 Equity Shares on 20-03-2020; Sold 1,27,900 Equity Shares on 27-03-2020; Sold 1,70,000 Equity Shares on 31-03-2020.

3. KAUSHIK DAGA				
For each of Top 10 Shareholders	Shareholding at the beginning of the Year		Cumulative Shareholding during the Year	
	No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
At the beginning of the year	3,98,642	2.04	-	-
Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity, etc.,)	-	-	-	-
At the End of the Year (or on the date of separation, if separated during the year)	-	-	3,98,642	2.04

Note:

Increase: Nil

Decrease: Nil

4. OWN INFRACON PRIVATE LIMITED				
For each of Top 10 Shareholders	Shareholding at the beginning of the Year		Cumulative Shareholding during the Year	
	No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
At the beginning of the year	2,57,865	1.32	-	-
Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity, etc.,)	-	-	-	-
At the End of the Year (or on the date of separation, if separated during the year)	-	-	2,57,865	1.32

Note:

Increase: Nil

Decrease: Nil

5. KEDIA SECURITIES PRIVATE LIMITED				
For each of Top 10 Shareholders	Shareholding at the beginning of the Year		Cumulative Shareholding during the Year	
	No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
At the beginning of the year	2,00,000	1.02	-	-
Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity, etc.)	-	-	Nil	Nil
At the End of the Year (or on the date of separation, if separated during the year)	-	-	2,00,000	1.02

Note:

Increase: Acquired 1,00,000 Equity Shares on 28-06-2019; Acquired 1,00,000 Equity Shares on 09-08-2019.

Decrease: Sold 1,00,000 Equity Shares on 05-04-2019; Sold 1,00,000 Equity Shares on 05-07-2019;

6. NILAYKUMAR VINODKUMAR DAGA				
For each of Top 10 Shareholders	Shareholding at the beginning of the Year		Cumulative Shareholding during the Year	
	No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
At the beginning of the year	1,79,542	0.92	-	-
Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity, etc.)	-	-	-	-
At the End of the Year (or on the date of separation, if separated during the year)	-	-	1,79,542	0.92

Note:

Increase: Nil

Decrease: Nil

7. NIRAJKUMAR VINODKUMAR DAGA				
For each of Top 10 Shareholders	Shareholding at the beginning of the Year		Cumulative Shareholding during the Year	
	No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
At the beginning of the year	1,27,875	0.65	-	-
Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity, etc.)	-	-	(1,27,875)	(0.65)
At the End of the Year (or on the date of separation, if separated during the year)	-	-	0	0.00

Note:

Increase: Nil

Decrease: Sold 1,27,875 Equity Shares on 20-12-2019.

8. GARISHMA NEERAJ DAGA

For each of Top 10 Shareholders	Shareholding at the beginning of the Year		Cumulative Shareholding during the Year	
	No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
At the beginning of the year	1,03,432	0.53	-	-
Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity, etc.,)	-	-	(1,03,432)	(0.53)
At the End of the Year (or on the date of separation, if separated during the year)	-	-	0	0.00

Note:

Increase: Nil

Decrease: Sold 86,951 Equity Shares on 06-12-2019; Sold 16,481 Equity Shares on 14-02-2020.

9. NAMITA BHANDARE

For each of Top 10 Shareholders	Shareholding at the beginning of the Year		Cumulative Shareholding during the Year	
	No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
At the beginning of the year	85,000	0.43	-	-
Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity, etc.,)	-	-	15,500	0.08
At the End of the Year (or on the date of separation, if separated during the year)	-	-	1,00,500	0.51

Note:

Increase: Acquired 1,000 Equity Shares on 24-01-2020; Acquired 3,500 Equity Shares on 31-01-2020; Acquired 1,000 Equity Shares on 07-02-2020; Acquired 1,627 Equity Shares on 14-02-2020; Acquired 973 Equity Shares on 21-02-2020; Acquired 1,500 Equity Shares on 28-02-2020; Acquired 2,400 Equity Shares on 06-03-2020; Acquired 1,500 Equity Shares on 13-03-2020; Acquired 2,000 Equity Shares on 27-03-2020.

Decrease: Nil

10. RAHUL BHANDARE

For each of Top 10 Shareholders	Shareholding at the beginning of the Year		Cumulative Shareholding during the Year	
	No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
At the beginning of the year	80,000	0.41	-	-
Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity, etc.,)	-	-	35,000	0.18
At the End of the Year (or on the date of separation, if separated during the year)	-	-	1,15,000	0.59

Note:

Increase: Acquired 10,500 Equity Shares on 20-12-2019; Acquired 2,545 Equity Shares on 31-12-2019; Acquired 4,000 Equity Shares on 10-01-2020; Acquired 3,500 Equity Shares on 17-01-2020; Acquired 703 Equity Shares on 24-01-2020; Acquired 1,000 Equity Shares on 07-02-2020; Acquired 676 Equity Shares on 14-02-2020; Acquired 546 Equity Shares on 21-02-2020; Acquired 6,530 Equity Shares on 28-02-2020; Acquired 3,000 Equity Shares on 20-03-2020; Acquired 2,000 Equity Shares on 27-03-2020.

Decrease: Nil

11. VINODKUMAR HARAKCHAND DAGA				
For each of Top 10 Shareholders	Shareholding at the beginning of the Year		Cumulative Shareholding during the Year	
	No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
At the beginning of the year	0	0.00	-	-
Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity, etc.,)	-	-	2,31,307	1.18
At the End of the Year (or on the date of separation, if separated during the year)	-	-	2,31,307	1.18

Note:

Increase: Acquired 86,951 Equity Shares on 06-12-2019; Acquired 1,27,875 Equity Shares on 20-12-2019; Acquired 16,481 Equity Shares on 14-02-2020.

Decrease: Nil

12. ICICI SECURITIES LIMITED				
For each of Top 10 Shareholders	Shareholding at the beginning of the Year		Cumulative Shareholding during the Year	
	No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
At the beginning of the year	1,660	0.01	-	-
Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity, etc.,)	-	-	92,120	0.47
At the End of the Year (or on the date of separation, if separated during the year)	-	-	93,780	0.48

Note:

Increase: Acquired 1,411 Equity Shares on 19-04-2019; Acquired 282 Equity Shares on 03-05-2019; Acquired 218 Equity Shares on 10-05-2019; Acquired 1,673 Equity Shares on 24-05-2019; Acquired 1,238 Equity Shares on 21-06-2019; Acquired 5,549 Equity Shares on 28-06-2019; Acquired 13 Equity Shares on 12-07-2019; Acquired 598 Equity Shares on 19-07-2019; Acquired 809 Equity Shares on 09-08-2019; Acquired 1,866 Equity Shares on 23-08-2019; Acquired 200 Equity Shares on 06-09-2019; Acquired 1,522 Equity Shares on 20-09-2019; Acquired 115 Equity Shares on 11-10-2019; Acquired 258 Equity Shares on 25-10-2019; Acquired 66 Equity Shares on 01-11-2019; Acquired 5,632 Equity Shares on 08-11-2019; Acquired 503 Equity Shares on 22-11-2019; Acquired 63 Equity Shares on 06-12-2019; Acquired 159 Equity Shares on 20-12-2019; Acquired 399 Equity Shares on 27-12-2019; Acquired 976 Equity Shares on 31-12-2019; Acquired 107 Equity Shares on 03-01-2020; Acquired 245 Equity Shares on 31-01-2020; Acquired 23 Equity Shares on 14-02-2020; Acquired 695 Equity Shares on 21-02-2020; Acquired 1,182 Equity Shares on 06-03-2020; Acquired 3,234 Equity Shares on 13-03-2020; Acquired 93,715 Equity Shares on 31-03-2020.

Decrease: Sold 1,560 Equity Shares on 05-04-2019; Sold 9 Equity Shares on 12-04-2019; Sold 1,357 Equity Shares on 26-04-2019; Sold 69 Equity Shares on 17-05-2019; Sold 716 Equity Shares on 31-05-2019; Sold 1,052 Equity Shares on 07-06-2019; Sold 390 Equity Shares on 14-06-2019; Sold 6,724 Equity Shares on 05-07-2019; Sold 334 Equity Shares on 26-07-2019; Sold 119 Equity Shares on 02-08-2019; Sold 927 Equity Shares on 16-08-2019; Sold 1,960 Equity Shares on 30-08-2019; Sold 190 Equity Shares on 13-09-2019; Sold 477 Equity Shares on 27-09-2019; Sold 172 Equity Shares on 30-09-2019; Sold 838 Equity Shares on 04-10-2019; Sold 45 Equity Shares on 18-10-2019; Sold 6,156 Equity Shares on 15-11-2019; Sold 468 Equity Shares on 29-11-2019; Sold 14 Equity Shares on 13-12-2019; Sold 684 Equity Shares on 10-01-2020; Sold 679 Equity Shares on 17-01-2020; Sold 200 Equity Shares on 24-01-2020; Sold 220 Equity Shares on 07-02-2020; Sold 870 Equity Shares on 28-02-2020; Sold 3,141 Equity Shares on 20-03-2020; Sold 1,260 Equity Shares on 27-03-2020.

13. STANDARD CHARTERED BANK				
For each of Top 10 Shareholders	Shareholding at the beginning of the Year		Cumulative Shareholding during the Year	
	No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
At the beginning of the year	0	0.00	-	-
Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity, etc.,)	-	-	80,000	0.41
At the End of the Year (or on the date of separation, if separated during the year)	-	-	80,000	0.41

Note:

Increase: Acquired 22,100 Equity Shares on 20-03-2020; Acquired 68,007 Equity Shares on 27-03-2020.

Decrease: Sold 10,107 Equity Shares on 31-03-2020.

Note: The above changes in shareholding are derived based on weekly BENPOS statement or as duly intimated by the Promoter / Promoter Group.

(v) Shareholding of Directors and Key Managerial Personnel:

1) Mr. Ashok V. Hiremath (Managing Director & Key Managerial Personnel)

For each of Top 10 Shareholders	Shareholding at the beginning of the Year		Cumulative Shareholding during the Year	
	No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
At the beginning of the year	19,45,506	9.95	-	-
Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.,)	-	-	-	-
At the End of the Year	-	-	19,45,506	9.94*

Note:

Increase: Nil

Decrease: Nil

*Difference in % of shareholding is due to increase in Paid-up Equity Share Capital of the Company on account of allotment of 10,900 Equity Shares under Employees Stock Option Plan, 2012 (ESOP 2012) and 4,800 Equity Shares under Employees Stock Option Scheme, 2015 (ESOS 2015).

2) Mr. Rakesh Dogra (Non-Executive Director)

For each of Top 10 Shareholders	Shareholding at the beginning of the Year		Cumulative Shareholding during the Year	
	No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
At the beginning of the year	600	0.003	-	-
Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.,)	-	-	-	-
At the End of the Year	-	-	600	0.003

Note:

Increase: Nil

Decrease: Nil

3) Mr. Balram Singh Yadav (Non-Executive Director)

For each of Top 10 Shareholders	Shareholding at the beginning of the Year		Cumulative Shareholding during the Year	
	No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
At the beginning of the year	7,303	0.04	-	-
Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc.,)	-	-	-	-
At the End of the Year	-	-	7,303	0.04

Note:

Increase: Nil

Decrease: Nil

Note: The above changes in shareholding are derived based on weekly benpos statement.

Note: The following Directors and Key Managerial Personnel did not hold any Equity Shares of the Company during the Financial Year 2019-20:-

1) Mr. Nadir B. Godrej (Chairman & Director), (2) Mr. Arijit Mukherjee (Whole Time Director and Key Managerial Personnel), (3) Mr. Sitendu Sharma (Director) (resigned w.e.f. 30th January, 2020), (4) Mr. Vinod Malshe (Director), (5) Dr. Leena Raje (Director), (6) Dr. Brahma Nand Vyas (Director), (7) Mr. Vijay Khot (Director), (8) Mr. R. R. Govindan (Additional Director) (appointed w.e.f. 30th January, 2020) (9) Ms. Tejashree Pradhan (Company Secretary & Compliance Officer), (10) Mr. Saurav Bhala (Chief Financial Officer).

V. INDEBTEDNESS:
Indebtedness of the Company including Interest outstanding /accrued but not due for payment

(₹ in Lakh)

Particulars	Secured Loans excluding Deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the Financial Year				
i) Principal Amount	-	17,516.66	-	17,516.66
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	50.13	-	50.13
Total (i + ii + iii)	-	17,566.79	-	17,566.79
Change in Indebtedness during the Financial Year				
(+) Addition	2,389.01	3,254.62	-	5,643.63
(-) Reduction	-	(13,339.27)	-	(13,339.27)
Net Change	2,389.01	(10,084.65)	-	(7,695.64)
Indebtedness at the end of the Financial Year				
i) Principal Amount	2,388.81	7,463.83	-	9,852.64
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	0.20	18.32	-	18.52
Total (i + ii + iii)	2,389.01	7,482.15	-	9,871.16

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL:
A. Remuneration to Managing Director, Whole Time Directors and/or Manager

(₹ in Lakh)

Sl. No.	Particulars of Remuneration	Mr. Ashok V. Hiremath, Managing Director	Mr. Arijit Mukherjee, Whole Time Director	Total Amount
1	Gross Salary			
	(a) Salary as per the provisions contained in Section 17(1) of the Income Tax Act, 1961	134.99	54.55	189.54
	(b) Value of Perquisites under Section 17(2) of the Income Tax Act, 1961	0.85	0.22	1.07
	(c) Profits in lieu of salary under Section 17(3) of the Income Tax Act, 1961	-	-	-
2	Stock Options	-	20,000 Options	20,000 Options
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	- As a % of Profit	-	-	-
	- Others, specify	-	-	-
5	Others, Please specify	-	-	-
	TOTAL (A)	135.85	54.76	190.61
	Ceiling as per the Act	₹652.35 Lakh (being 10% of the Net Profits of the Company calculated as per Section 198 of the Companies Act, 2013)		

B. Remuneration to other Directors:**I) Independent Directors**

(₹ in Lakh)

Sl. No.	Particulars of Remuneration	Names of Directors						Total Amount
		Mr. Sitendu Sharma (upto 30 th January, 2020)	Mr. Vinod Malshe	Dr. Leena Rajee	Dr. Brahma Nand Vyas	Mr. Vijay Khot	Mr. R. R. Govindan (w.e.f. 30 th January, 2020)	
1	Fee for attending Board / Committee Meetings	3.40	4.30	3.90	3.40	3.40	1.00	19.40
2	Commission	-	-	-	-	-	-	-
3	Others (please specify) – Reimbursement of expenses for attending Meetings	-	-	-	0.54	-	-	0.54
	TOTAL B (I)	3.40	4.30	3.90	3.94	3.40	1.00	19.94

II) Other Non-Executive Directors

(₹ in Lakh)

Sl. No.	Particulars of Remuneration	Names of Directors			Total Amount
		Mr. Nadir B. Godrej	Mr. Balram Singh Yadav	Mr. Rakesh Dogra	
	Fee for attending Board / Committee Meetings	-	-	-	-
	Commission	-	-	-	-
	Others	-	-	-	-
	TOTAL B(II)	-	-	-	Nil
	TOTAL B(I)+B(II)= B				19.94
	Total Managerial Remuneration (B)				19.94
	Total Sitting Fees				19.94
	Total Other Remuneration				Nil
	Overall Ceiling as per the Act				₹65.24 Lakh (being 1% of Net Profits of the Company calculated under Section 198 of the Companies Act, 2013)

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

(₹ in Lakh)

Sl. No.	Particulars of Remuneration	Key Managerial Personnel		Total Amount
		Mr. Saurav Bhala, Chief Financial Officer	Ms. Tejashree Pradhan, Company Secretary & Compliance Officer	
1	Gross Salary			
	(a) Salary as per the provisions contained in Section 17(1) of the Income Tax Act, 1961	37.25	14.98	52.23
	(b) Value of Perquisites under Section 17(2) of the Income Tax Act, 1961	0.22	-	0.22
	(c) Profits in lieu of Salary under Section 17(3) of the Income Tax Act, 1961	-	-	-
2	Stock Options	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	- As a % of Profit	-	-	-
	- Others, specify	-	-	-
5	Others, Please specify	-	-	-
	TOTAL (C)	37.47	14.98	52.45

VII. PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment / Compounding Fees imposed	Authority RD/NCLT/Court	Appeal Made, if any (give details)
A. Company					
Penalty			None		
Punishment					
Compounding					
B. Director					
Penalty			None		
Punishment					
Compounding					
C. Other Officer in Default					
Penalty			None		
Punishment					
Compounding					

 For and on behalf of the Board of Directors of
 Astec LifeSciences Limited

 Ashok V. Hiremath
 Managing Director
 DIN: 00349345

 Arijit Mukherjee
 Whole Time Director
 DIN: 07334111

 Place: Mumbai
 Date: 5th May, 2020