

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



## Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

### I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

L99999MH1994PLC076236

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACA4832D

(ii) (a) Name of the company

ASTEC LIFESCIENCES LIMITED

(b) Registered office address

Godrej One, 3rd Floor, Pirojshanagar,  
Eastern Express Highway, Vikhroli (East)  
Mumbai  
Mumbai City  
Maharashtra  
400070

(c) \*e-mail ID of the company

tejashree.pradhan@godrejaste

(d) \*Telephone number with STD code

02225188010

(e) Website

www.astecsl.com

(iii) Date of Incorporation

25/01/1994

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes ☐ No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

☒ Yes ☐ No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE LIMITED	1
2	NATIONAL STOCK EXCHANGE OF INDIA	1,024

(b) CIN of the Registrar and Transfer Agent

U99999MH1994PTC076534

Pre-fill

Name of the Registrar and Transfer Agent

BIGSHARE SERVICES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

E-3 ANSA INDUSTRIAL ESTATESAKI VIHAR ROAD  
SAKINAKA

(vii) \*Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM 28/07/2021

(b) Due date of AGM 30/09/2021

(c) Whether any extension for AGM granted ☐ Yes ☒ No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C6	Chemical and chemical products, pharmaceuticals, medicinal chemical and	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given 4

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	GODREJ AGROVET LIMITED	L15410MH1991PLC135359	Holding	62.3
2	BEHRAM CHEMICALS PVT LTD	U24100MH1993PTC071480	Subsidiary	65.63

3	COMERCIALIZADORA AGRICOLA		Subsidiary	100
4	GODREJ INDUSTRIES LIMITED	L24241MH1988PLC097781	Holding	0

#### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

##### (i) \*SHARE CAPITAL

###### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	25,000,000	19,578,355	19,578,355	19,578,355
Total amount of equity shares (in Rupees)	250,000,000	195,783,550	195,783,550	195,783,550

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
<b>EQUITY SHARES</b>				
Number of equity shares	25,000,000	19,578,355	19,578,355	19,578,355
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	250,000,000	195,783,550	195,783,550	195,783,550

###### (b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

###### (c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

###### (d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
<b>Equity shares</b>	Physical	DEMAT	Total			
<b>At the beginning of the year</b>	606	19,567,749	19568355	195,683,550	195,683,550	
<b>Increase during the year</b>	0	10,100	10100	101,000	101,000	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	10,000	10000	100,000	100,000	
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify		100	100	1,000	1,000	
Transfer to IEPF						
<b>Decrease during the year</b>	100	0	100	1,000	1,000	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify	100		100	1,000	1,000	
Transfer to IEPF						
<b>At the end of the year</b>	506	19,577,849	19578355	195,783,550	195,783,550	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0

iii. Others, specify						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

INE563J01010

**(ii) Details of stock split/consolidation during the year (for each class of shares)**

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***



Nil

[Details being provided in a CD/Digital Media]



Yes



No



Not Applicable

Separate sheet attached for details of transfers



Yes



No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) \*Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

5,548,721,359

(ii) Net worth of the Company

3,087,026,123

VI. (a) \*SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	567,514	2.9	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	12,204,016	62.33	0	
10.	Others	0	0	0	
	<b>Total</b>	12,771,530	65.23	0	0

**Total number of shareholders (promoters)**

2

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	3,731,999	19.06	0	
	(ii) Non-resident Indian (NRI)	249,298	1.27	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	424,736	2.17	0	

4.	Banks	0	0	0	
5.	Financial institutions	300	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	1,486,239	7.59	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	395,454	2.02	0	
10.	Others Clearing Member, IEPF, Alte	518,799	2.65	0	
	<b>Total</b>	6,806,825	34.76	0	0

**Total number of shareholders (other than promoters)**

19,870

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

19,872

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	3	2
Members (other than promoters)	10,698	19,870
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	1	0	1	0	2.89	0
<b>B. Non-Promoter</b>	1	8	1	8	0.03	0
(i) Non-Independent	1	3	1	3	0.03	0
(ii) Independent	0	5	0	5	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0

(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	2	8	2	8	2.92	0

**Number of Directors and Key managerial personnel (who is not director) as on the financial year end date** 12

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
NADIR BURJOR GODFR	00066195	Director	0	
ASHOK VISHWANATH	00349345	Managing Director	567,514	
ARIJIT MUKHERJEE	07334111	Whole-time director	6,000	
BALRAM SINGH YADA	00294803	Director	0	
RAKESH DOGRA	07334098	Director	0	
BRAHMA NAND VYAS	02796071	Director	0	
VIJAY KASHINATH KH	03520249	Director	0	
RISHINARADAMANGA	02148801	Director	0	
NANDKUMAR VASANT	02189370	Director	0	
ANJALI RAJESH GUPT	00104884	Director	0	
SAURAV BHALA	AHLPB9628F	CFO	0	
TEJASHREE ROHAN F	AOVPG3272K	Company Secretar	0	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

4

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
VINOD CHINTAMANI M	00642540	Director	21/09/2020	CESSATION
LEENA RAJAN RAJE	06961551	Director	21/09/2020	CESSATION
NANDKUMAR VASANT	02189370	Additional director	18/12/2020	APPOINTMENT
ANJALI RAJESH GUPT	00104884	Additional director	18/12/2020	APPOINTMENT

## IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

### A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETI	22/07/2020	14,845	72	10.73

### B. BOARD MEETINGS

\*Number of meetings held

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	05/05/2020	10	10	100
2	22/07/2020	10	10	100
3	29/10/2020	10	10	100
4	18/12/2020	8	7	87.5
5	25/01/2021	10	10	100

### C. COMMITTEE MEETINGS

Number of meetings held

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	05/05/2020	7	7	100
2	AUDIT COMM	22/07/2020	7	7	100
3	AUDIT COMM	29/10/2020	4	4	100
4	AUDIT COMM	25/01/2021	4	4	100
5	CORPORATE	05/05/2020	4	4	100
6	CORPORATE	29/10/2020	4	4	100
7	NOMINATION	05/05/2020	3	3	100
8	NOMINATION	18/12/2020	3	3	100
9	STAKEHOLDER	25/01/2021	3	3	100
10	RISK MANAGE	22/07/2020	4	4	100

#### D. \* ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	28/07/2021
								(Y/N/NA)
1	NADIR BURJO	5	5	100	0	0	0	Yes
2	ASHOK VISHN	5	4	80	7	7	100	Yes
3	ARIJIT MUKH	5	5	100	0	0	0	Yes
4	BALRAM SING	5	5	100	8	8	100	Yes
5	RAKESH DOG	5	5	100	0	0	0	Yes
6	BRAHMA NAM	5	5	100	5	5	100	Yes
7	VIJAY KASHI	5	5	100	6	6	100	Yes
8	RISHINARAD	5	5	100	8	8	100	Yes
9	NANDKUMAR	1	1	100	0	0	0	Yes
10	ANJALI RAJE	1	1	100	0	0	0	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	ASHOK VISHWANATH	MANAGING DIRECTOR	19,717,077	0	0	0	19,717,077
2	ARIJIT MUKHERJEE	WHOLE TIME DIRECTOR	6,958,937	0	5,302,600	0	12,261,537
	Total		26,676,014	0	5,302,600	0	31,978,614

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SAURAV BHALA	CHIEF FINANCIAL OFFICER	4,491,101	0	0	0	4,491,101
2	TEJASHREE ROHATGI	COMPANY SECRETARY	1,619,277	0	0	0	1,619,277
	Total		6,110,378	0	0	0	6,110,378

Number of other directors whose remuneration details to be entered

7

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	BRAHMA NAND VYAS	DIRECTOR	0	0	0	300,000	300,000
2	VIJAY KASHINATH	DIRECTOR	0	0	0	310,000	310,000
3	RISHINARADAMAN	DIRECTOR	0	0	0	330,000	330,000
4	NANDKUMAR VASANT	DIRECTOR	0	0	0	50,000	50,000
5	ANJALI RAJESH G	DIRECTOR	0	0	0	50,000	50,000
6	VINOD CHINTAMA	DIRECTOR	0	0	0	140,000	140,000
7	LEENA RAJAN RAJ	DIRECTOR	0	0	0	140,000	140,000
	Total		0	0	0	1,320,000	1,320,000

**XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES**

- \* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

**XII. PENALTY AND PUNISHMENT - DETAILS THEREOF**

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

☒ Yes ☐ No

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Vikas R. Chomal

Whether associate or fellow

☒ Associate ☐ Fellow

Certificate of practice number

12133

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

### Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

#### To be digitally signed by

Director

YADAV  
BALRAM  
SINGH  
Digitally signed by  
YADAV BALRAM  
SINGH  
Date: 2021.09.24  
22:28:31 +05'30'

DIN of the director

00294803

#### To be digitally signed by

TEJASHRE  
E ROHAN  
PRADHAN  
Digitally signed by  
TEJASHREE  
ROHAN PRADHAN  
Date: 2021.09.24  
19:56:31 +05'30'

- ☒ Company Secretary
- ☐ Company secretary in practice

Membership number

Certificate of practice number

#### Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

Attach

Attach

Attach

Attach

#### List of attachments

Benpos31032021.pdf  
AstecMGT8.pdf  
AstecMGT 7AttachmentLetter.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**



Astec LifeSciences Ltd.

24<sup>th</sup> September, 2021

To,  
Registrar of Companies,  
Ministry of Corporate Affairs,  
Mumbai, Maharashtra

**Sub.: Annual Return in Form MGT – 7 for the Financial Year 2020-21**

**(I) In Clause III, regarding Particulars of Holding, Subsidiary and Associate Companies, we wish to clarify that:**

1. During the Financial Year 2020-21, the Company has sold off its entire equity stake in **Astec Europe Spri** (a 50.10% subsidiary of the Company in Belgium, Europe). Accordingly, Astec Europe Spri ceased to be a subsidiary of the Company with effect from 1<sup>st</sup> September, 2020.
2. In addition to Godrej Agrovet Limited which is our direct Holding Company, **Godrej Industries Limited** (although not holding any Equity Shares directly in the Company) is also the Holding Company since it is the Holding Company of Godrej Agrovet Limited.

**(II) In Clause IV (i) (a) regarding Equity Share Capital, we wish to clarify as under:**

The Compensation Committee of the Board of Directors of the Company had allotted 9,200 (Nine Thousand Two Hundred) Equity Shares of Face Value of Rs.10/- (Rupees Ten Only) each to certain employees of the Company on 19<sup>th</sup> March, 2021.

With the aforesaid allotment, the total Paid-up Equity Share Capital of the Company increased from 1,95,78,355 (One Crore Ninety Five Lakh Seventy Eight Thousand Three Hundred and Fifty Five) Equity Shares to 1,95,87,555 (One Crore Ninety Five Lakh Eighty Seven Thousand Five Hundred Fifty Five) Equity Shares of Face Value of Rs.10/- (Rupees Ten Only) each.

The listing applications in respect of the above allotment were submitted to BSE Limited and National Stock Exchange of India Limited ("the exchanges") on 30<sup>th</sup> March, 2021 & 31<sup>st</sup> March, 2021 respectively and the Trading Approval of Exchanges was subsequently received on 31<sup>st</sup> March, 2021.

The Company had already obtained In-Principle Approval of BSE Limited dated 1<sup>st</sup> March, 2016 and National Stock Exchange of India Limited dated 25<sup>th</sup> February, 2016. The said 9,200 (Nine Thousand Two Hundred) Equity Shares were credited into the systems of National Securities Depository Limited (NSDL) and Central Depository Services (India) Limited (CDSL) after 31<sup>st</sup> March, 2021.



Regd. Office :  
"Godrej One", 3<sup>rd</sup> Floor,  
Pirojshanagar, Eastern Express Highway,  
Vikhroli (East), Mumbai-400079,  
Maharashtra, India  
Telephone No.: 022- 25188010  
Fax No.: 022-22618289  
Email id: astecinfo@godrejastec.com  
Website: www.astecsls.com  
CIN : L99999MH1994PLC076236



Astec LifeSciences Ltd.

**(III) In Clause VI (a) regarding Share Holding Pattern - Promoters**, we wish to clarify / further submit as under:

Mrs. Chitrlekha Ashok Hiremath sold 40,500 Equity Shares of the Company during the Financial Year 2020-21, therefore, for the purpose of counting “total number of shareholders (promoters)”, she has not been considered.

**(IV) In Clause VI (b) regarding Share Holding Pattern – Public / Other than Promoters**, we wish to clarify / further submit as under:

The following are the details of Foreign Portfolio Investors included under the tab / category “Others” as on 31<sup>st</sup> March, 2021:

SR. NO.	NAME OF THE SHAREHOLDER	NUMBER OF EQUITY SHARES HELD
1.	GYMKHANA PARTNERS L.P.	28,200
2.	BNS ASIA LIMITED	15,651
3.	TIZIANA GMBH	1,735
4.	AMERICAN CENTURY ETF TRUST-AVANTIS EMERGING MARKETS EQUITY ETF	1,514
5.	ALASKA PERMANENT FUND	805
6.	EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL FUNDS ICVC	615
7.	O'NEIL CAPITAL MANAGEMENT INC	34
8.	DIMENSIONAL EMERGING CORE EQUITY MARKET ETF OF DIMENSIONAL ETF TRUST	4

**(V) In Clause VIII (B)(i) regarding Details of Directors and Key Managerial Personnel as on the closure of Financial Year**, we would like to clarify as under:



Regd. Office :  
 "Godrej One", 3<sup>rd</sup> Floor,  
 Pirojshanagar, Eastern Express Highway,  
 Vikhroli (East), Mumbai-400079,  
 Maharashtra, India  
 Telephone No.: 022- 25188010  
 Fax No.: 022-22618289  
 Email id: astecinfo@godrejastec.com  
 Website: www.astecsl.com  
 CIN : L99999MH1994PLC076236



Astec LifeSciences Ltd.

The Compensation Committee of the Board of Directors of the Company allotted 6,000 (Six Thousand) Equity Shares of Face Value of Rs.10/- (Rupees Ten Only) each to Mr. Arijit Mukherjee (Whole Time Director) on 19<sup>th</sup> March, 2021.

The listing applications in respect of the above allotment were submitted to BSE Limited and National Stock Exchange of India Limited ("the Exchanges") on 30<sup>th</sup> March, 2021 and 31<sup>st</sup> March, 2021 respectively and the Trading Approvals of the Exchanges were subsequently received on 31<sup>st</sup> March, 2021. The said 6,000 (Six Thousand) Equity Shares were credited into the systems of National Securities Depository Limited (NSDL) and Central Depository Services (India) Limited (CDSL) after 31<sup>st</sup> March, 2021.

Kindly take the above information on record.

Thanking you,

Yours faithfully,

**For Astec LifeSciences Limited**

**Tejashree Pradhan**  
**Company Secretary & Compliance Officer**  
**(FCS 7167)**



Regd. Office :  
"Godrej One", 3<sup>rd</sup> Floor,  
Pirojshanagar, Eastern Express Highway,  
Vikhroli (East), Mumbai-400079,  
Maharashtra, India  
Telephone No.: 022- 25188010  
Fax No.: 022-22618289  
Email id: astecinfo@godrejastec.com  
Website: www.astecsls.com  
CIN : L99999MH1994PLC076236

**VIKAS R CHOMAL AND ASSOCIATES**  
**PRACTICING COMPANY SECRETARIES**

**Head office:** A/B-201, MANAS BLDG, KHAKAR ALLEY, THANE WEST – 400601

**Branch office:** C/o. Dalal Desai & Kumana, Chartered Accountants, Union Co. op Insurance Bldg., 2nd Flr, 23, P M Road, Fort Mumbai – 400001.

**Email:** csvrca@gmail.com/vikas@vrca.co.in, **Phone:** 022-25410931/9867811641

---

**Form No. MGT-8**

[Pursuant to Section 92(2) of the Companies Act, 2013 and  
Rule 11(2) of the Companies (Management and Administration) Rules, 2014]

**CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE**

I have examined the registers, records and books and papers of **ASTEC LIFESCIENCES LIMITED** (“the Company”) as required to be maintained under the Companies Act, 2013 (“the Act”) and the Rules made thereunder for the **Financial Year ended 31<sup>st</sup> March, 2021**. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the Company, its Officer(s) and Agent(s), I certify that:

- A The Annual Return states the facts as at the close of the aforesaid Financial Year correctly and adequately.
- B During the aforesaid Financial Year the Company has complied with provisions of the Act and the Rules made there under in respect of:
  - 1. its status under the Act;
  - 2. maintenance of registers / records and making entries therein within the time prescribed therefor;
  - 3. filing of forms and returns as stated in Annual Return (as an Annexure to Form MGT-8), with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within the prescribed time under the Act and Rules made thereunder;
  - 4. calling / convening / holding meetings of Board of Directors or its Committees and the Meetings of the Members of the Company on due dates as stated in the Annual Return in respect of which meetings, proper notices were given and the proceedings have been properly recorded in the Minutes Book/Registers maintained for the purpose and the same have been signed;
  - 5. the Register of Members and Share Transfer Books of the Company remained closed from Saturday, 24<sup>th</sup> July, 2021 to Tuesday, 27<sup>th</sup> July, 2021 (both days inclusive);

6. During the Financial Year, the Company has not given loans to Directors and/or persons or firms or companies referred to in Section 185 of the Act;
7. All Contracts / Arrangements / Transactions entered by the Company during the Financial Year with Related Parties were in the ordinary course of business and on arm's length basis. There were no materially significant Related Party Transactions entered into by the Company with Promoters, Directors, Key Managerial Personnel or other Designated persons which may have a potential conflict with the interest of the Company;
8. During the Financial Year, the Company has allotted Equity Shares to the employees under Employees Stock Option Plan, 2012 (ESOP 2012) and Employees Stock Option Scheme, 2015 (ESOS 2015). Further the Company has neither bought back any shares, nor received any request for transfer / transmission of shares held in physical form. Further, the Company has not made alteration / reduction of share capital or conversion of shares during the said Financial Year;
9. There were no transactions necessitating the Company to keep in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act;
10. The Company had declared Dividend to its Shareholders during the Financial Year 2020-21 as per provisions of Section 125 of the Act. Further, during the year under review, the Company has transferred an amount of Rs.75,766/- (Rupees Seventy Five Thousand Seven Hundred and Sixty Six Only) lying in the Unpaid / Unclaimed Dividend Account for the Financial Year 2012-13, to the Investor Education and Protection Fund (IEPF);
11. Signing of Audited Financial Statement was as per the provisions of Section 134 of the Act and Report of Directors was as per sub-sections (3), (4) and (5) thereof;
12. The Board of Directors of the Company is properly constituted as stated in the Annual Return and the appointment(s), re-appointment(s), disclosures of Director(s) and the Key Managerial Personnel and the remuneration paid to them during the said Financial Year were as per the provisions of the Act and Rules framed thereunder;
13. The appointment / tenure of Statutory Auditors was as per the provisions of Section 139 of the Act;
14. The Company was not required to obtain any approvals of the Central Government, Tribunal, Regional Director, Registrar or such other authorities as may be prescribed under the various provisions of the Act during the said Financial Year;
15. There has not been any acceptance / renewal / repayment of deposit within the meaning of the Act during the said Financial Year;

16. The amount borrowed by the Company from Financial Institutions, Banks and others (as may be applicable) during the said Financial Year are within the borrowing limits of the Company and the Company has complied with the applicable provisions of the Act and Rules framed thereunder in respect of creation / modification / satisfaction of charge(s) during the said Financial Year.
17. The Company has complied with the provisions of the Act and the Rules framed thereunder in respect of loans, investments, guarantees or securities made / given / provided to other bodies corporate / person(s) falling under the provisions of Section 186 of the Act during the said Financial Year.
18. There was no alteration of the provisions of the Memorandum of Association and Articles of Association of the Company during the Financial Year under review.

**For Vikas R Chomal and Associates**

Vikas  
Ramchandra Chomal  
ra Chomal

Digitally signed by  
Vikas Ramchandra  
Chomal  
Date: 2021.09.23  
18:17:19 +05'30'

**Vikas R Chomal**  
**Practicing Company Secretaries**  
**ACS No: 24941**  
**COP: 12133**

**ICSI Firm Peer Review Reg No: S2013MH21650**  
**ICSI UDIN: A024941C000996274**

**Place: Thane**  
**Date: 23/09/2021**

**ANNEXURE TO FORM MGT-8****LIST OF E-FORMS FILED WITH****MINISTRY OF CORPORATE AFFAIRS (MCA) / REGISTRAR OF COMPANIES (ROC)****DURING THE FINANCIAL YEAR 2020-21**

<b>Sr. No.</b>	<b>SRN</b>	<b>Date of Filing of E-form</b>	<b>E-Form</b>	<b>Purpose</b>
1.	T10212751	25-03-2021	IEPF-3	Statement of shares and unclaimed or unpaid dividend not transferred to the Investor Education and Protection Fund
2.	R91224584	28-01-2021	IEPF Online Verification Form	Online Verification Report
3.	R90070830	27-01-2021	IEPF-2	Details of Nodal Officer
4.	R84819440	13-01-2021	DIR-12	Appointment of Mr. Nandkumar Dhekne & Ms. Anjali Gupte as Additional Directors (Independent Directors)
5.	R74885922	14-12-2020	MGT-14	Filing of employment agreement with Mr. Ashok Hiremath (Managing Director)
6.	R73262271	03-12-2020	IEPF-4	Statement of shares transferred to IEPF
7.	R70443809	09-11-2020	IEPF-1	Statement of amount credited to IEPF
8.	R67903666	20-10-2020	DIR-12	Cessation of Mr. Vinod Malshe & Ms. Leena Raje as Independent Directors
9.	R66925942	13-10-2020	IEPF-2	Statement of unclaimed and unpaid amounts
10.	R64883150	30-09-2020	MR-1	Return of re-appointment of Mr. Ashok Hiremath as Managing Director
11.	R56759764	18-09-2020	MGT-7	Annual Return for the Financial Year 2019-20
12.	R52019726	29-08-2020	MGT-6	Return in respect of declaration under section 89 received by the company
13.	R51013258	23-08-2020	PAS-3	Return of Allotment for shares allotted on 25 <sup>th</sup> July, 2020
14.	R50648849	20-08-2020	MGT-14	Filing of Resolutions passed at the Annual General Meeting held 22 <sup>nd</sup> July, 2020
15.	R50613579	20-08-2020	MGT-14	Filing of Board Resolutions passed at the meeting held 22 <sup>nd</sup> July, 2020
16.	R50720440	20-08-2020	AOC-4 XBRL	Form for filing XBRL document in respect of financial statement and other documents with the Registrar for Financial Year 2019-20
17.	R50509181	19-08-2020	DIR-12	Appointment of Mr. R. R. Govindan as a Director (Independent Director) (Regularization)
18.	R50490390	19-08-2020	CRA-4	Form for filing Cost Audit Report with the Central Government
19.	R50427822	19-08-2020	MGT-15	Form for filing Report on Annual General Meeting
20.	R47859467	30-07-2020	CRA-2	Appointment of Cost Auditor for the Financial Year 2020-21
21.	R44396588	03-07-2020	PAS-3	Return of Allotment for shares allotted on 4 <sup>th</sup> June, 2020
22.	R43977073	30-06-2020	DPT-3	Return of deposits (Yearly Return)
23.	R40534257	03-06-2020	MGT-14	Filing of Board Resolutions passed at the meeting held 5 <sup>th</sup> May, 2020
24.	R37910940	29-04-2020	MGT-14	Filing of Board Resolutions passed at the meeting held 31 <sup>st</sup> March, 2020